

# FORM LM-2 LABOR ORGANIZATION ANNUAL REPORT

U.S. Department of Labor  
Office of Labor-Management  
Standards  
Washington, DC 20210

MUST BE USED BY LABOR ORGANIZATIONS WITH \$250,000  
OR MORE IN TOTAL ANNUAL RECEIPTS AND LABOR  
ORGANIZATIONS IN TRUSTEESHIP

Form Approved  
Office of Management and  
Budget  
No. 1245-0003  
Expires: 10-31-2013

This report is mandatory under P.L. 86-257, as amended. Failure to comply may result in criminal prosecution, fines, or civil penalties as provided by 29 U.S.C. 439 or 440.

## READ THE INSTRUCTIONS CAREFULLY BEFORE PREPARING THIS REPORT.

For Official Use Only	1. FILE NUMBER 000-342	2. PERIOD COVERED	3. (a) AMENDED - Is this an amended report:	No
		From 09/01/2010	(b) HARDSHIP - Filed under the hardship procedures:	No
		Through 08/31/2011	(c) TERMINAL - This is a terminal report:	No

4. AFFILIATION OR ORGANIZATION NAME NATIONAL EDUCATION ASN IND		8. MAILING ADDRESS (Type or print in capital letters)	
5. DESIGNATION (Local, Lodge, etc.) NATIONAL HEADQUARTERS		6. DESIGNATION NBR	
7. UNIT NAME (if any)			
9. Are your organization's records kept at its mailing address?		Yes	
		First Name BILL	
		Last Name THOMPSON	
		P.O Box - Building and Room Number	
		Number and Street 1201 16TH ST N W STE 422	
		City WASHINGTON	
		State DC	ZIP Code + 4 20036

Each of the undersigned, duly authorized officers of the above labor organization, declares, under penalty of perjury and other applicable penalties of law, that all of the information submitted in this report (including information contained in any accompanying documents) has been examined by the signatory and is, to the best of the undersigned individual's knowledge and belief, true, correct and complete (See Section V on penalties in the instructions.)

26. SIGNED: Dennis B Van Roekel	PRESIDENT	27. SIGNED: Rebecca S Pringle	TREASURER
Date: Nov 29, 2011	Contact Info: 202-822-7499	Date: Nov 29, 2011	Contact Info: 202-822-7057

Form LM-2 (Revised 2010)

## ITEMS 10 THROUGH 21

FILE NUMBER: 000-342

10. During the reporting period did the labor organization create or participate in the administration of a trust or a fund or organization, as defined in the instructions, which provides benefits for members or beneficiaries?	Yes	20. How many members did the labor organization have at the end of the reporting period?	3,167,412																				
11(a). During the reporting period did the labor organization have a political action committee (PAC) fund?	Yes	21. What are the labor organization's rates of dues and fees?																					
11(b). During the reporting period did the labor organization have a subsidiary organization as	Yes	<table border="1"> <thead> <tr> <th colspan="5">Rates of Dues and Fees</th> </tr> <tr> <th>Dues/Fees</th> <th>Amount</th> <th>Unit</th> <th>Minimum</th> <th>Maximum</th> </tr> </thead> <tbody> <tr> <td>(a) Regular Dues/Fees</td> <td>166</td> <td>per Year</td> <td>5</td> <td>166</td> </tr> <tr> <td>(b) Working Dues/Fees</td> <td>0</td> <td>per</td> <td></td> <td></td> </tr> </tbody> </table>		Rates of Dues and Fees					Dues/Fees	Amount	Unit	Minimum	Maximum	(a) Regular Dues/Fees	166	per Year	5	166	(b) Working Dues/Fees	0	per		
Rates of Dues and Fees																							
Dues/Fees	Amount	Unit	Minimum	Maximum																			
(a) Regular Dues/Fees	166	per Year	5	166																			
(b) Working Dues/Fees	0	per																					

defined in Section X of these Instructions?

12. During the reporting period did the labor organization have an audit or review of its books and records by an outside accountant or by a parent body auditor/representative?

Yes

13. During the reporting period did the labor organization discover any loss or shortage of funds or other assets? (Answer "Yes" even if there has been repayment or recovery.)

No

14. What is the maximum amount recoverable under the labor organization's fidelity bond for a loss caused by any officer, employee or agent of \$1,400,000 the labor organization who handled union funds?

15. During the reporting period did the labor organization acquire or dispose of any assets in a manner other than purchase or sale?

No

16. Were any of the labor organization's assets pledged as security or encumbered in any way at the end of the reporting period?

No

17. Did the labor organization have any contingent liabilities at the end of the reporting period?

Yes

18. During the reporting period did the labor organization have any changes in its constitution or bylaws, other than rates of dues and fees, or in practices/procedures listed in the instructions?

Yes

19. What is the date of the labor organization's next regular election of officers?

07/2012

(c) Initiation Fees

0 per

(d) Transfer Fees

0 per

(e) Work Permits

0 per

Form LM-2 (Revised 2010)

**STATEMENT A - ASSETS AND LIABILITIES**

FILE NUMBER: 000-342

**ASSETS**

ASSETS	Schedule Number	Start of Reporting Period (A)	End of Reporting Period (B)
22. Cash		\$51,806,429	\$59,074,673
23. Accounts Receivable	1	\$45,943,156	\$34,543,202
24. Loans Receivable	2	\$5,541,637	\$13,354,676
25. U.S. Treasury Securities		\$0	\$0
26. Investments	5	\$63,876,564	\$66,917,190
27. Fixed Assets	6	\$47,352,374	\$46,875,458
28. Other Assets	7	\$2,421,632	\$2,647,958
<b>29. TOTAL ASSETS</b>		<b>\$216,941,792</b>	<b>\$223,413,157</b>

**LIABILITIES**

LIABILITIES	Schedule Number	Start of Reporting Period (A)	End of Reporting Period (B)
30. Accounts Payable	8	\$28,299,629	\$26,662,190
31. Loans Payable	9	\$0	\$0
32. Mortgages Payable		\$0	\$0

33. Other Liabilities	10	\$28,970,412	\$31,926,358
<b>34. TOTAL LIABILITIES</b>		\$57,270,041	\$58,588,548

<b>35. NET ASSETS</b>		\$159,671,751	\$164,824,609
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Form LM-2 (Revised 2010)

**STATEMENT B - RECEIPTS AND DISBURSEMENTS**

FILE NUMBER: 000-342

CASH RECEIPTS	SCH	AMOUNT
36. Dues and Agency Fees		\$370,805,951
37. Per Capita Tax		\$0
38. Fees, Fines, Assessments, Work Permits		\$0
39. Sale of Supplies		\$0
40. Interest		\$8,009
41. Dividends		\$426
42. Rents		\$0
43. Sale of Investments and Fixed Assets	3	\$382,154
44. Loans Obtained	9	\$9,986,000
45. Repayments of Loans Made	2	\$121,850
46. On Behalf of Affiliates for Transmittal to Them		\$121,715
47. From Members for Disbursement on Their Behalf		\$0
48. Other Receipts	14	\$17,721,656
<b>49. TOTAL RECEIPTS</b>		\$399,147,761

CASH DISBURSEMENTS	SCH	AMOUNT
50. Representational Activities	15	\$50,659,498
51. Political Activities and Lobbying	16	\$45,225,412
52. Contributions, Gifts, and Grants	17	\$87,908,647
53. General Overhead	18	\$41,953,117
54. Union Administration	19	\$71,997,098
55. Benefits	20	\$57,395,668
56. Per Capita Tax		\$3,173,361
57. Strike Benefits		\$3,357
58. Fees, Fines, Assessments, etc.		\$21,417
59. Supplies for Resale		\$2,104
60. Purchase of Investments and Fixed Assets	4	\$8,482,828
61. Loans Made	2	\$7,934,889
62. Repayment of Loans Obtained	9	\$9,986,000
63. To Affiliates of Funds Collected on Their Behalf		\$67,928
64. On Behalf of Individual Members		\$0
65. Direct Taxes		\$7,796,780
66. Subtotal		\$392,608,104
67. Withholding Taxes and Payroll Deductions		
67a. Total Withheld	\$29,800,768	
67b. Less Total Disbursed	\$29,072,181	
67c. Total Withheld But Not Disbursed		\$728,587
<b>68. TOTAL DISBURSEMENTS</b>		\$391,879,517

Form LM-2 (Revised 2010)

**SCHEDULE 1 - ACCOUNTS RECEIVABLE AGING SCHEDULE**

FILE NUMBER: 000-342

Entity or Individual Name (A)	Total Account Receivable (B)	90-180 Days Past Due (C)	180+ Days Past Due (D)	Liquidated Account Receivable (E)
Alabama Education Association	\$545,783	\$0	\$0	\$27,545
Arkansas Education Association	\$24,763	\$0	\$0	\$16,395
Florida Education Association	\$2,193,651	\$0	\$0	\$58,627

Georgia Association of Educators	\$469,788	\$0	\$0	\$18,912
Missouri NEA	\$0	\$0	\$0	\$13,379
Pennsylvania State Education Association	\$804,601	\$0	\$0	\$1,280
Wisconsin Education Association	\$1,913,549	\$0	\$0	\$784
Totals from all other accounts receivable	\$28,591,067	\$0	\$0	\$0
<b>TOTALS</b> (Column (B) Total will be automatically entered in Item 23, Column (B))	\$34,543,202	\$0	\$0	\$136,922

Form LM-2 (Revised 2010)

**SCHEDULE 2 - LOANS RECEIVABLE**

FILE NUMBER: 000-342

List below loans to officers, employees, or members which at any time during the reporting period exceeded \$250 and list all loans to business enterprises regardless of amount. (A)	Loans Outstanding at Start of Period (B)	Loans Made During Period (C)	Cash (D)(1)	Other Than Cash (D)(2)	Loans Outstanding at End of Period (E)
Name: Denise Alston Purpose: NEASO Tuition Loan Security: None Terms: 2 Years	\$920	\$0	\$920	\$0	\$0
Name: Cathey Bernhard Purpose: NEASO Tuition Loan Security: None Terms: 2 Years	\$0	\$2,000	\$2,000	\$0	\$0
Name: Marsha P. Blackburn Purpose: NEASO Tuition Loan Security: None Terms: 2 Years	\$572	\$0	\$572	\$0	\$0
Name: Michelle T. Boyd Purpose: NEASO Tuition Loan Security: None Terms: 2 Years	\$258	\$0	\$258	\$0	\$0
Name: Camilo CiFuentes Purpose: NEASO Tuition Loan Security: None Terms: 2 Years	\$0	\$2,000	\$600	\$0	\$1,400
Name: Willie Cook Purpose: NEASO Tuition Loan Security: None Terms: 2 Years	\$0	\$1,500	\$1,500	\$0	\$0
Name: Sara A. Ferguson Purpose: NEASO Tuition Loan Security: None Terms: 2 Years	\$0	\$2,000	\$840	\$0	\$1,160
Name: Emily Fuller Purpose: NEASO Tuition Loan Security: None Terms: 2 Years	\$2,000	\$0	\$1,760	\$0	\$240
Name: Tammy Funderbank Purpose: NEASO Tuition Loan Security: None Terms: 2 Years	\$0	\$2,000	\$2,000	\$0	\$0
Name: Melissa Hershcopf Purpose: NEASO Tuition Loan Security: None	\$1,080	\$0	\$1,040	\$0	\$40

Terms: 2 Years					
Name: Christopher Heyer Purpose: NEASO Tuition Loan Security: None Terms: 2 Years	\$0	\$1,975	\$1,975	\$0	\$0
Name: Robert Howard Purpose: NEASO Tuition Loan Security: None Terms: 2 Years	\$0	\$932	\$110	\$0	\$822
Name: Karen Johnson Purpose: NEASO Tuition Loan Security: None Terms: 2 Years	\$588	\$2,000	\$1,466	\$0	\$1,122
Name: Robin Jones Purpose: NEASO Tuition Loan Security: None Terms: 2 Years	\$432	\$2,000	\$432	\$0	\$2,000
Name: Carmen Lopez Purpose: NEASO Tuition Loan Security: None Terms: 2 Years	\$1,700	\$0	\$1,408	\$0	\$292
Name: Christian Lopez Purpose: NEASO Tuition Loan Security: None Terms: 2 Years	\$0	\$1,695	\$1,496	\$0	\$199
Name: Deborah Mitchell Purpose: NEASO Tuition Loan Security: None Terms: 2 Years	\$0	\$2,000	\$960	\$0	\$1,040
Name: Felix Perez Purpose: NEASO Tuition Loan Security: None Terms: 2 Years	\$1,360	\$1,440	\$1,040	\$0	\$1,760
Name: Reuben Porter Purpose: NEASO Tuition Loan Security: None Terms: 2 Years	\$600	\$0	\$600	\$0	\$0
Name: Tamara Roberts Purpose: NEASO Tuition Loan Security: None Terms: 2 Years	\$400	\$0	\$184	\$0	\$216
Name: Jerome Roland Purpose: NEASO Tuition Loan Security: None Terms: 2 Years	\$1,960	\$0	\$1,040	\$0	\$920
Name: Phyzell Roland Purpose: NEASO Tuition Loan Security: None Terms: 2 Years	\$1,940	\$0	\$1,560	\$0	\$380
Name: Mooneka Taylor Purpose: NEASO Tuition Loan Security: None Terms: 2 Years	\$800	\$1,360	\$2,080	\$0	\$80
Name: Ernestine Ussery Purpose: NEASO Tuition Loan Security: None Terms: 2 Years	\$320	\$0	\$320	\$0	\$0

(B)			
Special Interest Organization			
Name and Address (A)			
AMERICAS FAMILY FIRST INC  2715 MST NW WASHINGTON DC 20007	Purpose (C)	Date (D)	Amount (E)
	Ballot init grant	09/22/2010	\$2,150,000
	Mshp communicatn strategy	10/05/2010	\$1,000,000
	Total Itemized Transactions		\$3,150,000
	Total Non-Itemized Transactions		\$0
Type or Classification (B)	<b>Total of All Transactions</b>		
Advocacy Organization			

Name and Address (A)			
ANGLE MASTAGNI MATHEWS POLITICAL  507 N SYLVANIA AVE FORT WORTH TX 76111	Purpose (C)	Date (D)	Amount (E)
	Mshp communicatn strategy	01/06/2011	\$86,793
	Mshp communicatn strategy	10/15/2010	\$11,207
	Mshp communicatn strategy	05/19/2011	\$5,049
	Mshp communicatn strategy	05/19/2011	\$12,874
Type or Classification (B)	Mbr/public communications	10/28/2010	\$25,659
	Total Itemized Transactions		\$141,582
	Total Non-Itemized Transactions		\$7,559
Consulting - Political	<b>Total of All Transactions</b>		

Name and Address (A)			
ARIZONA EDUCATION ASSOCIATION  345 E PALMLN PHOENIX AZ 85004	Purpose (C)	Date (D)	Amount (E)
	Legis policy developmt	09/23/2010	\$50,000
	Ballot Init support grant	06/08/2011	\$57,000
	Ballot Init support grant	05/19/2011	\$28,800
	Affiliate training	07/27/2011	\$35,000
Type or Classification (B)	Mshp communication developmt	10/14/2010	\$7,500
	Ballot Init support grant	10/13/2010	\$10,000
	Total Itemized Transactions		\$188,300
State Association	Total Non-Itemized Transactions		\$1,600
	<b>Total of All Transactions</b>		

Name and Address (A)			
BALLOT INITIATIVE STRATEGY CENTER SUITE 411 1825 K ST, NW WASHINGTON DC 20006	Purpose (C)	Date (D)	Amount (E)
	Ballot init communicatn	03/17/2011	\$30,000
	Legis state pro-ed issues	03/17/2011	\$60,000
	Legis state pro-ed issues	08/11/2011	\$60,000
	Total Itemized Transactions		\$150,000
Type or Classification (B)	Total Non-Itemized Transactions		\$0
	<b>Total of All Transactions</b>		
Consultant			

Name and Address (A)			
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